





Process 6. The problem tree.

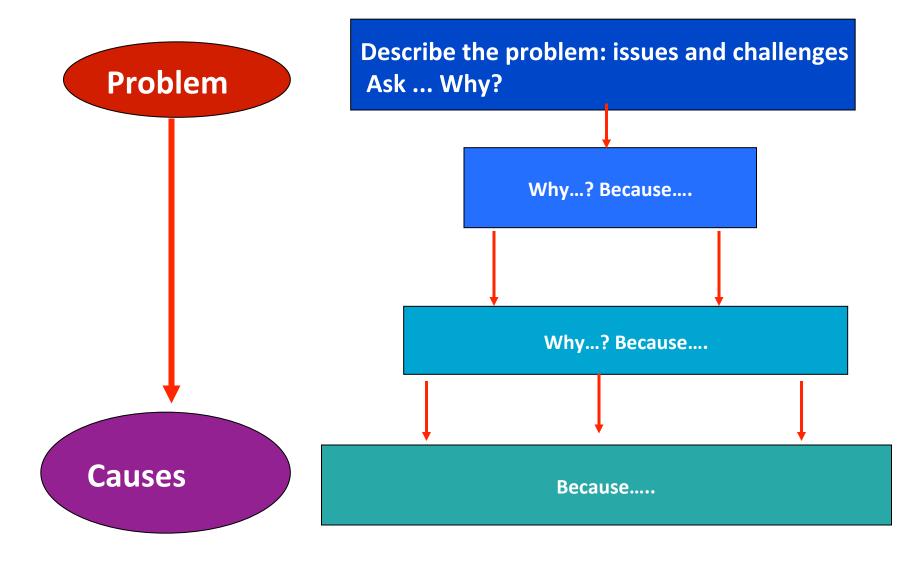
Resources for developing National Strategic Frameworks

Group Activity: Developing the Problem Tree & Objectives Tree

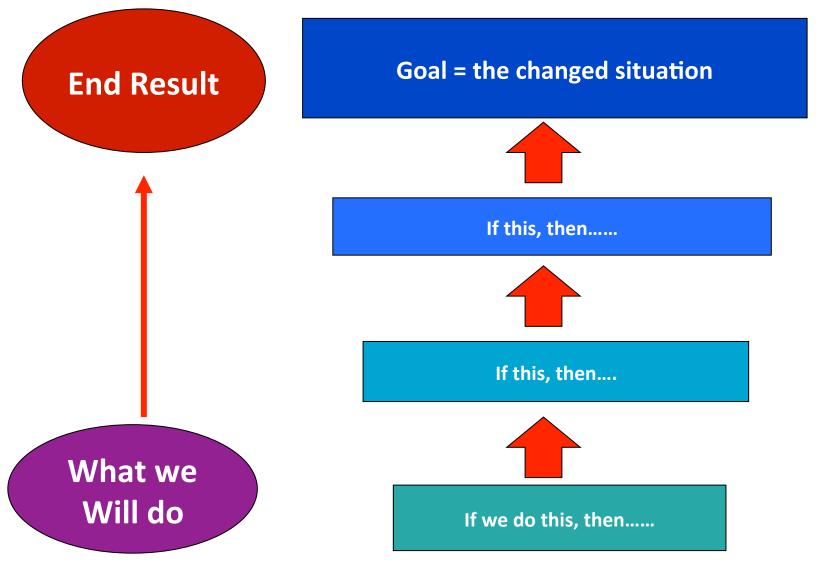
We will do two things in this session of group work:

- Develop a Problem Tree
- Turn our Problem Tree into an Objectives Tree

The 'Problem Tree' starts with the problem



The Results Matrix turns the 'Problem Tree' about...



Basic rules of 'problem' analysis

- What's the evidence say?
- Explore the unknown... research....
- Stay logical what leads to this and therefore to that…?
- Consider alternatives.....is there another hypothesis to consider...?
- Use many ways or methods to gather 'evidence':
 - consulting stakeholders workshops, FGDs, key informant interviews etc
 - Desktop reviews

Developing the Problem Tree

- 1. Using the focus question or problem statement, and reviewing the stakeholder map, brainstorm a list of "problem statements" (negative statements) about the situation.
- 2. Agree on the meaning of each problem statement does it need to be clarified? [If you like, write it on a post it note so you can move it around.]
- 3. Arrange the problem statements by "cause" or "effect" is it a priority?
- 4. Generate further statements by asking "What leads to that?" or "Why is that present?"
- 5. Cluster related statements and organise them into a hierarchy according to cause-effect relationships
- 6. Construct a representation of the relationships between problems using lines

Writing the objective: digging deeper

We might need to dig deeper,

- If this is the case, using a tool called the problem tree is useful...
- First we map the stakeholders
- Then, we dig....

Handy Hints for Problem Trees....

- Remember the 3 WHYs....
 - -WHY
 - -WHY
 - -WHY
 - -and Because......
- Ask
 - WHO is affected ...?
 - Where?
 - When?

Handy Hints for Problem Trees....

Be thoughtful about how you describe the problems:

- Use terms that describe the current situation rather than forecast an answer...
- Use phrases that open up an issue, rather than narrow the perspective.
 - e.g. "Lack of reliable taps" suggests only one solution: taps that work.
 - Instead: "limited access to water" could open up discussion about other ways to answer the problem:
 - Ensure routine maintenance on taps
 - Build workers' skills to fix taps
 - look for other sources of water, etc.

Stakeholder Mapping – Relationships and Interests

- Who is affected by the issue or problem?
- How are they affected?
- What is their relationship to the issue or problem?
- Who could help address the problem?
- Why is it important to engage those stakeholders?
- What do you know of past success?
- Is participation important?

From Situation Assessment to Problem Analysis: The Problem Tree

AIM:

To use the analysis from your situation assessment to order 'problems' into a hierarchy according to cause and effect

Steps

- 1. Identify problems and "problem-owners"
- 2. Structure problems and relations between them
- 3. Develop a shared perception of problems
- 4. Develop options for which problems to concentrate on

The 'Problem Tree' hierarchy

Effects

Describe the situation....

High rates of STIs are identified in seafarers – this puts them at risk of HIV and other health problems - Why are there high rates of STIs? ...?

Why ... Because... seafarers often 'buy' sex when they travel

seafarers don't use condoms;

Seafarers STIs are not treated;

Seafarers don't know they have STIs

Why do they 'buy' sex ?

Because
they are away from home &
earning money;

Why ...?

Because...cant access

Condoms

Why don't they get treatment?

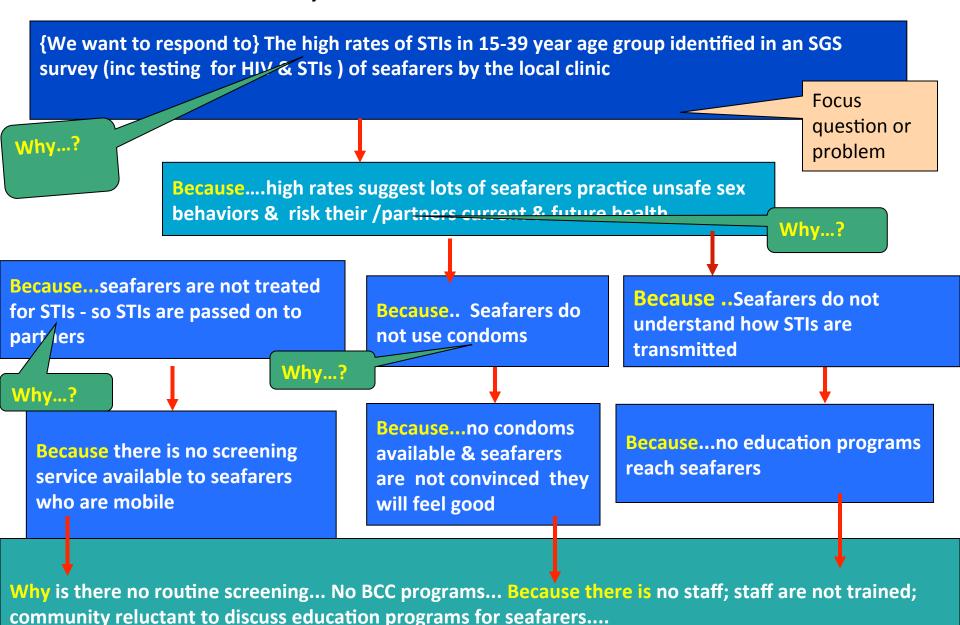
Because - no access to health services on board ship or in port

Causes

Why are there no health services?

Because there is no funding... Its not a priority

Analysis with the Problem tree



Some critical questions once you develop your 'problem' tree

- How will you determine your priority for the point of intervention?
 - What resources do you have?
 - What areas can you influence and for what are you responsible?
 - Is this your 'line of control'?
 - Does this reflect how you think change happens in this context?
 - Would (should) anything else influence your choice of priorities?

Handy Hints for Problem Trees....

Determine your priorities amongst the 'problem statements':

The challenge is to know where to 'draw the line', to understand and assess your level of influence or control...

Ask:

– What skills and experience and expertise can we offer to address the 'problems'?

– What are you able to be responsible for?

